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Abstract

We review the design of the concurrent logic language GHC, the basis of the kernel language for the Parallel Inference Machine being developed in the Japanese Fifth Generation Computer Systems project, and the design of the parallel language KL1, the actual kernel language being implemented and used. The key idea in the design of these languages is the separation of concurrency and parallelism. Clarification of concepts of this kind seems to play an important role in bridging the gap between parallel inference systems and knowledge information processing in a coherent manner. In particular, design of a new kernel language has always encouraged us to reexamine and reorganize various existing notions related to programming and to invent new ones.

1 Introduction

The Japanese FGCS (Fifth Generation Computer Systems) Project [Kurozumi 1988], which is a ten-year project started in 1982, aims at developing methodologies and technologies for supporting knowledge information processing with highly parallel inference machines.

The outstanding feature of the FGCS project is that it takes the middle-out approach, which means to design a novel kernel language that bridges parallel hardware and application software. There exists an enormous semantic gap between parallel hardware and application software. Years ago, many people hoped that the semantic gap between hardware and software would be narrowed gradually. However, the gap seems to be widening, because applications are going to be more and more sophisticated, while recent development of computer architecture (such as RISC and parallel architectures) requires us to better exploit physical characteristics of programs such as communication locality. This is not necessarily an undesirable phenomenon for very efficient processing and the understanding of computation, though it incurs more difficulty in bridging the gap.

The approach adopted by the FGCS project is to use the logic programming paradigm as the bridge. Of course, merely proposing a single paradigm does not suffice; that was simply a starting point and we had to materialize the paradigm in the form of a kernel language. One reason why logic programming seemed appropriate as a starting point is that it has no sequentiality concept. Prolog, the most successful outcome from the logic programming paradigm so far, relies more or less on sequentiality, but the basic framework of logic programming seemed to provide us with a good basis for research on parallelism.

Indeed, much work has been done on parallelism in logic programming. This can be classified into two major directions: parallel execution of logic programs without explicit specification of concurrency (which we call ordinary logic programs henceforth), and the design of concurrent logic programming languages. The former direction is concerned with exploiting the power of parallel computers in a way transparent to programmers (the most notable example of which is the OR-parallel execution of Prolog), while the latter direction is concerned with parallelism at the logical level which we usually refer to as concurrency. We will henceforth use the term parallelism only to mean parallelism at the physical level.

The FGCS project decided to follow the latter direction in principle. We felt that parallel execution of ordinary logic programs was not sufficient to cover all the levels of abstraction between the applications layer and hardware layer. A good concurrency formalism is needed to write reactive systems [Harel and Pnueli 1985] elegantly, and we decided to design a kernel language based on the concurrent logic programming paradigm. We know that not all applications require the concurrency formalism, but we were quite confident that different programming paradigms can be implemented on top of the concurrency framework. Also, concurrent languages provide us with a natural construct through which to consider parallel execution and to design parallel algorithms, namely concurrent processes.

One way to design a concurrent language would be to augment a sequential language with the primitives for communication and concurrency. However, we chose to design our kernel language independently of existing sequential languages. We had long felt that concurrent programming could be made much easier and thus be promoted by finding a simple formalism of concurrency and a good concurrent language. Finding a simple formalism seemed to be useful also for clarifying various concepts related to concurrency and parallelism. The concurrent language we designed is called Guarded Horn Clauses (GHC) [Ueda 1986] [Ueda 1988], which is described in Section 2.

In designing GHC, we completely separated the notion of concurrency and the notion of parallelism, and included only the former in the language constructs. This is because the specification of how a concurrent program should run on a parallel computer tends to be implementation-dependent. To make effective use of parallel computers, however, we should be able to specify how a program should most desirably be executed on them at least when we wish. One may claim that automatic parallelization is clearly more desirable than explicit control of parallelism from programmers' point of view. However, in order to develop good automatic parallelization schemes, we should carry out our research using languages with explicit control of parallelism. Our kernel language, called KL1 [Chikayama et al. 1988], is based on GHC, but takes the above issue of parallel execution into account among other things. So KL1 can be called a *parallel* language, while GHC is a *concurrent* language. The design of KL1 will be described in Section 3.

2 Concurrent Logic Language GHC

The design of the kernel language for the Parallel Inference Machine [Goto et al. 1988], the machine we are now building, was started in 1982. The concurrent logic languages we considered as the possible basis of the kernel language include Relational Language

[Clark and Gregory 1981], Concurrent Prolog [Shapiro 1983] and PARLOG [Clark and Gregory 1983, 1986]. In particular, we studied Concurrent Prolog in detail, the most expressive of these languages, and implemented it fully on a general-purpose sequential computer [Miyazaki et al. 1985]. We had known through our experiences that one of the most useful ways to understand and review a programming language is to try to implement it. Implementation compels us to clarify the details of a language, and if successful, gives us a constructive evidence (though not a complete proof) that the language constructs are well and reasonably defined.

Guarded Horn Clauses (GHC) was born at the very end of 1984 through these studies, the most direct clue being the attempt to clarify the atomic operations of Concurrent Prolog.

GHC shares its basic framework with other concurrent logic languages. Firstly, a GHC program is a set of *guarded* clauses. Secondly, GHC features no don't-know nondeterminism (built-in search capability) but features don't-care nondeterminism, which allows us to program reactive systems that interact with the outside world. Reactive systems in concurrent logic languages are based on the process interpretation of logic [van Emden and de Lucena Filho 1982], in which a goal (or a multiset of subgoals derived from it) is regarded as a process and processes communicate by generating and observing bindings (between shared logical variables and their values). Like most concurrent logic languages, all bindings communicated between GHC processes are *determinate*, that is, they are never revoked once published to other processes. The determinacy of bindings is essential in reactive systems, because the bindings may be used for interacting with the real outside world.

2.1 The Key Idea of GHC

What then is the key idea of GHC? As explained above, one important aspect of concurrent logic languages is the determinacy of bindings. In general, the execution of a concurrent logic program proceeds using parallel input resolution [Ueda 1988] that allows parallel execution of different goals, but under the following rules to guarantee the determinacy of bindings:

1. The guards (including the heads) of different (guarded) clauses called by a goal g can be executed concurrently, but they cannot instantiate g.
2. The goal g *commits* to one of the clauses whose guards have succeeded (see (4) below).
3. The body of a clause to which g has committed can instantiate g. The bodies of clauses to which g has not committed cannot instantiate g or the guards of the clauses (this can be achieved simply by not executing them at all).
4. A goal is said to *succeed* if it commits to some clause and all its body goals succeed. (Note that the latter half vacuously holds if the body is empty).

That is, before commitment, a goal can pursue two or more clauses but without generating bindings. After commitment, it can generate bindings but only one clause is left.

Another important aspect of concurrent logic languages is how synchronization is achieved. In general, synchronization is achieved by restricting information flow caused by unification. Concurrent Prolog uses read-only annotations, and PARLOG uses mode declarations which are used for compiling the unification of input arguments into a sequence of one-way unification and test unification primitives. However, in these languages, additional mechanisms are necessary to guarantee the restriction (1) above. In Concurrent Prolog, bindings which are generated during the execution of a guard and which would instantiate the caller side are recorded locally, and are published upon commitment. In PARLOG, the guard of a clause C containing a (guard) goal that can instantiate the caller of C is called *unsafe*, and an additional restriction is imposed that every guard must be safe [Gregory 1987].

The key idea of GHC is quite simple. It uses the restriction (1) itself as a synchronization construct. That is, any piece of unification which is invoked directly or indirectly from the guard of a clause C and which would instantiate the caller of C is suspended until it can be executed without instantiating the caller. Thus the safety condition in the sense of PARLOG is automatically satisfied. Moreover, unlike Concurrent Prolog, no bindings need be recorded for later publication. In other words, GHC has integrated two notions: the determinacy of bindings and synchronization. This conceptual simplification led to GHC being adopted finally as the basis of our kernel language.

Interestingly, the same synchronization mechanism had been invented independently in the functional language Qute for different purposes [Sato and Sakurai 1984].

2.2 From GHC to Flat GHC

A kernel language must provide a common framework for people working on various aspects of the project including applications, implementation, and theory. Before accepting GHC as the basis of our kernel language, we had to convince ourselves that it satisfies the following conditions:

1. It is expressive enough.
2. It can eventually be implemented efficiently, possibly by appropriate subsetting.
3. It is simple enough to be understood and used by programmers including novices. Also, it is simple enough for theoretical treatment.

It is a social process that a programming language is accepted by a community. It took considerable time and effort until GHC was accepted even within ICOT. The primary reason is that many of us considered GHC as an unduly restrictive logic language rather than a flexible concurrent language. We soon made sure that GHC was expressive enough to write most concurrent algorithms that had been written in other concurrent logic languages, but that was not enough. How to program search problems was also important, because search problems are a specialty of ordinary logic languages with which our project was started. So we have developed a couple of methods for programming search problems [Ueda 1987] [Tamaki 1987] [Okumura and Matsumoto 1987].

For implementability, we quickly ascertained by rapid prototyping that GHC can be implemented fairly efficiently at least on sequential computers [Ueda and Chikayama 1985].

For simplicity, we continued to study the properties of GHC and looked for a simpler explanation of the language better suited to process interpretation. Now, our interpretation is that a GHC process is an abstract entity which observes and generates information (represented in the form of bindings) and which is implemented by a multiset of body goals. The behavior of each body goal is defined by guarded clauses that can be regarded as rewrite rules.

A problem with the original definition of GHC is that guard goals do not fit well into the process interpretation. They are most naturally regarded as auxiliary conditions to be satisfied for the rewrite rule containing them to be applied. From a practical point of view, we felt that the expressive power of guard goals did not pay the implementation effort even if it could be implemented efficiently. In short, the generality of guard goals seemed unnecessary.

These considerations led us to reduce GHC to a subset, Flat GHC, a movement inspired by the reduction of Concurrent Prolog to Flat Concurrent Prolog [Shapiro 1986]. Since Flat GHC arose from rather practical requirements, it did not have a rigorous definition for a long time. The vague idea was that only certain predefined predicates could be called from clause guards, but it was not defined what properties should be satisfied by those predefined predicates. Later on, we became convinced that the sufficient conditions to be satisfied by a guard goal as an auxiliary condition are that it is deterministic (that is, whether it succeeds or not depends only on its arguments) and that it does not produce any bindings. These conditions can be obeyed by restricting predicates called directly or indirectly from a guard to those defined by unit clauses (possibly virtually in the case of predefined predicates), namely clauses with empty bodies. This restriction simplified the theoretical treatment of GHC such as the operational semantics [Ueda 1990] and program transformation rules [Ueda and Furukawa 1988].

To summarize, the basic idea of Flat GHC is as follows: A program is a set of guarded clauses that can be regarded as rewrite rules of goals. The guard of a clause specifies what information should be observed before applying the rewrite rule, and the body specifies the multiset of goals replacing the original one. A body goal is either a unification goal of the form $t_1 = t_2$, whose behavior is language-defined, or a non-unification goal, whose behavior is user-defined. A unification body goal generates information by unifying t_1 and t_2, and a non-unification body goal represents the rest of the work and will be reduced further.

2.3 Understanding GHC Better

When GHC was first proposed, we were not fully aware of many good properties of the language; they were clarified by later work inside and outside ICOT. One example is the process interpretation of Flat GHC programs. Another example is a logical characterization of communication and synchronization due to Maher [1987]. He showed

1. that information communicated by processes can be viewed as equality constraints over terms,

2. that the generation of information can be viewed as the publication of a constraint, and

3. that the observation of information can be modeled as the implication of a constraint by the set of constraints published so far.

Thus we have acquired both algebraic and logical characterizations of the communication mechanism used in GHC, which indicates the robustness of the language construct.

Also, we tried to characterize the atomic operations of GHC. Unlike Concurrent Prolog but like PARLOG, the publication of bindings are not done atomically *upon* commitment of a non-unification goal but eventually *after* commitment using a unification body goal that can run in parallel with other goals. This means that commitment in GHC is a smaller and simpler operation than commitment in Concurrent Prolog. Moreover, in GHC, the information generated by a unification body goal is not an atomic entity in general. It can be transmitted in smaller pieces, possibly with communication delay.

We have found that this liberal computational model of (Flat) GHC is expressive enough to program cooperating concurrent processes and leaves more freedom to implementation. (Flat) GHC is unfortunately not expressive enough to program processes that may not be cooperative. However, the *shoen* construct of KL1 (Section 3.1) takes care of such processes.

Another point to note is that GHC has included control for the *correct* behavior of processes but excluded any control for *efficient* execution. GHC has left the latter to KL1 in order to clearly distinguish between the two notions. This contrasts with PARLOG, which features sequential AND that can be used for suppressing parallel execution of body goals. We believe that it is important to learn that synchronization based on information flow is sufficient for writing correct concurrent programs.

Important topics on theoretical aspects of Flat GHC include the relationship with other theoretical models of concurrency such as CCS [Milner 1989] and theoretical CSP [Hoare 1985]. Although concurrent logic languages differ from CCS and CSP in that they are based on asynchronous communication and can be used to program dynamically reconfigurable processes, similar mathematical techniques can be used to formalize them [Gerth et al. 1988] [Saraswat and Rinard 1990]. In Flat GHC, the notion of a transaction [Ueda and Furukawa 1988] captures the externally meaningful unit of communication that corresponds well to an event in synchronous communication. We have not yet obtained a completely satisfactory formal semantics, but we are fairly confident that Flat GHC is theoretically simple enough, while it can be used for practical programming without any modification.

Since various concurrent logic languages were proposed, an issue that has always been of great interest is how to relate them to ordinary logic languages with don't-know nondeterminism. Our consistent position has been to clarify the difference of these two families of languages and to integrate them with a carefully designed interface [Ueda 1989]. In developing a compilation method from ordinary to concurrent logic languages [Ueda 1987], we tried to clarify what it means to 'collect' all solutions of a search program, which is related to the semantics of all-solutions predicates in Prolog such as bagof.

3 Parallel Language KL1

As described above, we have designed a concurrent logic language Flat GHC as the basis of the kernel language for parallel inference systems. The descriptive power of the language, however, is not sufficient when efficient program execution is our concern. As Flat GHC programs do not say anything about where (i.e., on which processor) the atomic operations making up a computation should be performed, there are many ways to distribute the operations over available processors. As Flat GHC programs only specify the partial ordering of atomic operations, there are many possible total orderings conforming to it. Some distribution and ordering may be more efficient than others. To make sure in all cases that the distribution and the ordering employed are not far from optimal, we must be able to specify physical details of execution to some extent.

We thus designed a parallel programming language based on the concurrent programming language Flat GHC, in which we can specify in certain detail *how* a program should be executed. This section describes the outline of this language, named KL1.

3.1 Mapping of Computation

Flat GHC programs implicitly express any potential parallelism in the sense that no ordering between atomic operations exists except for the ordering essential for correctness. To faithfully exploit this parallelism might be meaningful on an ideal parallel computer which has an unlimited number of processors and in which interprocessor communication has unlimited throughput and no latency. However, any real hardware has a limited number of processors and the cost of interprocessor communication cannot be neglected. To achieve efficiency, control is required on when and where each atomic operation should be performed. We call this control *mapping* in what follows.

One way to solve the problem is to make a language implementation fully responsible for mapping. The current technology of parallel software, however, does not provide an efficient mapping strategy applicable to all application areas; establishing such technology through experiences with diverse applications is one of the principal goals of the research on parallel inference systems in the FGCS project.

Mapping is often implicit in sequential systems. Suppose there are two methods to solve a problem: method A may fail to find a solution in rare cases, but always terminates in a short period of time either with a solution or with a failure signal; method B is less efficient but always finds a solution. In such a case, the most efficient sequential strategy is to try A first and to try B only when A was unsuccessful. In sequential systems, such strategic decisions for efficiency are usually not clearly separated from the mandatory ordering for the correctness of programs.

Trying B only after A may not be the best strategy, however, for parallel systems. Method A may not require all the computational resource (such as processors) for its execution. In such a case, method B should be tried in parallel with A, as long as it does not interfere with the execution of method A. This can be realized by providing an elastic guideline of mapping: giving A a higher priority than B.

Sometimes more sophisticated mapping is desirable. Suppose that there are two methods to solve a problem and that, although at least one is known to find a solution efficiently, we cannot tell which beforehand. In such a case, the best scheduling strategy may be to give both methods approximately the same amount of computational resource. Resource management is thus an important part of an algorithm in parallel computation.

In sequential computer systems and in parallel computer systems as extensions of conventional sequential systems, operating systems are primarily responsible for mapping. This is acceptable as far as application programs are mostly sequential and the mapping strategy is implicitly specified and executed using sequencing. In parallel systems where explicit mapping operations are much more frequently required, invoking the operating system for each mapping operation will incur intolerable overhead.

To solve this problem, we have introduced into KL1 the following features, which are intended to be efficiently implemented:

Shoen: Shoen[1] represents a group of goals. This group is used as the unit of execution control, namely the initiation, the interruption, the resumption and the abortion of execution. Exception handling and resource consumption control mechanism are also provided through this shoen mechanism. The shoen construct is an extension of the *metacall* construct proposed by Clark and Gregory [Clark and Gregory 1984].

Priority: A (body) goal of a KL1 program is the unit of priority control. Each goal has an integer priority associated with it. Each shoen keeps the maximum and the minimum priorities allowed for goals belonging to it, and the priority of each goal is specified relative to these. The language provides a large number of logical priority levels, which are translated to physically available priority levels provided by each implementation. If no priority is specified, the priority of the parent goal is inherited.

The priority mechanism can be used for programming speculative computation [Burton 1985] [Osborne 1990].

Processor specification: Each (body) goal may have a processor specification, which designates the number of the processor on which to execute the goal. Without this, the goal is executed on the same processor as its parent goal.

This straightforward mechanism provides the basis of research in more sophisticated load distribution strategies. Actually, several automatic load distribution strategies have been developed for diverse problems. As the optimal load distribution depends heavily on each problem, no single scheme works universally. Instead, typical schemes are planned to be provided as libraries, from which users can select most appropriate ones for their problems.

One of the most notable characteristics of the KL1 language is that these priority and processor specifications are separated from concurrency control. We call these specifications *pragmas*. Pragmas are merely guidelines for language implementations and may not be precisely obeyed. The same is true of the controlling mechanism of shoen; abortion of computation, for example, may not happen immediately. This relaxation makes distributed implementation much easier.

[1]The word "shoen" is a Japanese word corresponding to "manor" in English.

Pragmas are specified within the program but are clearly distinguished syntactically from other language constructs. Pragmas will never change the correctness of the programs,[2] though the performance may change drastically. As it is not uncommon that more than half of the program development effort is devoted to the design of appropriate mapping, it is most advantageous that the specification of mapping is syntactically isolated from the rest of the program. In many parallel programming languages, the specification of parallel execution is often mixed up with other language constructs, especially with constructs for concurrency control. A major revision is often required for improving efficiency or for running the program on a different implementation, which is liable to introduce new bugs.

3.2 Keeping up with Sequential Languages

What criterion is appropriate for comparing parallel algorithms? Assume that a parallel algorithm has sequential execution time $c(n)$ (n being the size of the problem) and average potential parallelism $p(n)$. Then the total execution time by this algorithm on an ideal parallel computer is given by $c(n)/p(n)$. This means that an algorithm with more sequential execution time but with still more parallelism is considered to be a better algorithm on an ideal parallel computer.

This, however, does not hold when the potential parallelism, which may vary over time, can exceed the physically available parallelism. With limited physical parallelism, which is always the case in the real world, a parallel algorithm whose sequential time complexity is worse than that of a known sequential algorithm will be beaten by that sequential algorithm running on a sequential computer for sufficiently large n, *no matter what* $p(n)$ *is*.

Thus, when designing a parallel algorithm, we must often consider a hybrid strategy that the algorithm switches to a sequential algorithm when the physically available parallelism is used up.

Pure languages such as pure Lisp and pure Prolog cannot straightforwardly express certain kinds of efficient algorithm due to the lack of the notion of destructive assignment. To overcome this requires optimization techniques that enable an implementation to make use of the destructive assignment of hardware memory. GHC also is a pure language with the same inherent problem. To write efficient algorithms in these pure languages, we must be able to somehow mimic the efficiency of array operations in conventional languages.

For this reason, KL1 introduced a primitive for updating an array element in constant time without disturbing the single-assignment property of logical variables. The primitive can be used as follows:

```
set_vector_element(Vect, Index, Elem, NewElem, NewVect)
```

When an array **Vect**, an index value **Index** and a new element value **NewElem** are given, the predicate binds **Elem** to the value of the **Index**'th element of **Vect**, and **NewVect** to a new array which is the same as **Vect** except that the **Index**'th element is replaced by **NewElem**.

[2] To be precise, the priority specification may be used for guaranteeing certain properties of diverging (i.e., autonomously non-terminating) programs.

Because some other goals may still have references to the old array `Vect`, a naive implementation might allocate a completely new array for `NewVect` and copy all but one elements. However, when it is known that no goals other than the above `set_vector_element` goal have references to `Vect`, there will be no problem in destructively updating it. In the actual implementation of KL1, a simplified, efficient version of the reference counting scheme [Chikayama and Kimura 1987] detects such a situation, in which event the new array `NewVect` is obtained in constant time.

This means that any imperative algorithm can be rewritten in KL1 retaining the same computational complexity, as random access memory can always be emulated using a single-reference array. Of course, allowing only one reference to a data structure can decrease the possibility of parallel execution considerably. However, as stated above, the requirement of the computational complexity must be considered only for the sequential parts of parallel algorithms which are invoked after physically available parallelism is used up.

3.3 Implementation

The most advanced implementation of KL1 currently in use is the Multi-PSI [Takeda et al. 1988] system. This experimental parallel inference machine has up to 64 processors of PSI-II [Nakashima and Nakajima 1987] connected in grid, attaining the peak performance of around 10 MRPS[3] for list concatenation. Several Multi-PSIs and the KL1 implementation on them are used in the research and development of parallel application software.

A new implementation under development is for a higher performance inference machine PIM [Goto et al. 1988], which is expected to have up to 512 processing elements and attain more than 100 MRPS of peak performance.

4 Conclusions

We have reviewed the design of the concurrent language GHC, the basis of the kernel language for the FGCS project, and the design of the parallel language KL1, the actual kernel language we are implementing and using. We have explained why we expose both concurrency and parallelism. Both need to be accessible for some programmers, though they may not have to be exposed to all programmers. When a good amount of parallel application software in KL1 has been accumulated, we should try to find appropriate higher-level language constructs supporting application programmers, together with their implementation techniques on KL1.

We have been careful in separating concurrency and parallelism because they are separate, though closely related, concepts. Concurrency has to do with correctness, while parallelism has to do with efficiency. This means that the semantics of GHC is independent of the underlying model of implementation, while the semantics of KL1 assumes a particular

[3]MRPS is for mega reductions per second. This roughly corresponds to MLIPS (mega logical inferences per second) of Prolog.

model of implementation. The formal semantics of KL1 is therefore difficult to describe, but this separation has made GHC simpler from a theoretical point of view.

To mention this separation from the programming point of view, our experience shows that writing correct concurrent programs is not difficult. What is still difficult is to write *efficient* parallel programs. The operating system PIMOS [Chikayama et al. 1988] for Multi-PSI and PIM was first developed using a KL1 implementation on a general-purpose sequential machine, but almost no synchronization bugs bothered us when it was installed on Multi-PSI.

The purpose of our research on the kernel language is not only to design a usable programming language, but also to better understand various concepts related to concurrent, parallel, and logic programming. We started our project with the key idea of logic programming and then introduced concurrent logic programming, but we must continue to find many good concepts that systematically bridge the semantic gap between parallel computers and knowledge information processing.

Both GHC and KL1 have room for refinement. For instance, recently we found that a simple mode system based on the notion of constraints can be used for simplifying Flat GHC further [Ueda and Morita 1990] both in terms of programming and of implementation. As this example indicates, implementation, applications and theory interact with one another in designing a programming language. It is very important for the healthy development of the kernel language that the language is used and reviewed by people working on these diverse areas.

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